

#12/B 4/29/03 PATENT Production

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Visvamohan Yegnashankaran et al.

Appln. No.: 10/010,696

Filed: December 5, 2001

For: MULTILEVEL METAL INTERCONNECT WITH CAPACITIVE STRUCTURES

THAT ADJUST THE CAPACITANCE OF THE INTERCONNECT (as previously

amended)

Group Art Unit: 2811

Examiner: Q.D. Vu

AMENDMENT (IN RESPONSE TO OFFICE

ACTION DATED January 30, 2003)

# **INTRODUCTORY COMMENTS**

Commissioner for Patents Washington, D.C. 20231

Dear Sir:

In response to the Official Action mailed January 30, 2003, please amend the above-identified application as indicated on the following pages.

04/23/2003 MDAMTE1 00000011 10010696

01 FC:1201

84.00 OP

### **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service, postage prepaid, in an envelope, addressed to Box\_\_\_\_\_\_Commissioner for Patents,

Washington D.C. 20231-9999 on <u>C</u>

Dated: 04-16-03

-03\_\_

TECHNOLOGY CENTER 2800

PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031 ase type a plus sign (+) inside this box -> 🛨 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control 10/010,696 **Application Number** TRANSMITTAL Filing Date December 5, 2001 **FORM First Named Inventor** Visvamohan Yegnashankaran et al. (to be used for all correspondence after initial filing) **Group Art Unit** 2811 **Examiner Name** Q. D. Vu Total Number of Pages in This Submission 23 Attorney Docket Number 100-16200 (P05088) ENCLOSURES (check all that apply) After Allowance Communication to Assignment Papers Fee Transmittal Form (in duplicate) (for an Application) Group Appeal Communication to Board of Fee Attached (check for \$84.00) Drawing(s) Appeals and Interferences Appeal Communication to Group Amendment/Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Petition Routing Slip (PTO/SB/69) After Final (Response) Proprietary Information and Accompanying Petition Petition to Convert to a Affidavits/declaration(s) Status Inquiry Provisional Application Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): **Return Receipt Postcard** Terminal Disclaimer Express Abandonment Request **Certificate of Mailing** Request for Refund Information Disclosure Statement CD, Number of CD(s) Please charge any necessary fees or credit overpayment to Certified Copy of Priority Deposit Account No. 502305. A duplicate copy of this Remarks Document(s) transmittal is attached for this purpose. Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Mark C. Pickering, Reg. No. 36,239 Individual name Signature

CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: April 16, 2003

Date

April 16, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Date

Typed or printed name

Signature

April 16, 2003

Robin L. King

# FEE TRANSMITTAL For FY 2003 Consent Fees are subject to annual revision.

\$84

OF PAYMENT

| Complete if Known     |                                       |  |  |  |  |  |  |
|-----------------------|---------------------------------------|--|--|--|--|--|--|
| Application Number    | · · · · · · · · · · · · · · · · · · · |  |  |  |  |  |  |
| Application Number    | 10/010,696                            |  |  |  |  |  |  |
| Filing Date           | December 5, 2001                      |  |  |  |  |  |  |
| First Named Inventor  | Visvamohan Yegnashankaran et al.      |  |  |  |  |  |  |
| Examiner Name         | Q.D. Vu                               |  |  |  |  |  |  |
| Group Art Unit        | 2811                                  |  |  |  |  |  |  |
| Attorney Document No. | 100-16200 (P05088)                    |  |  |  |  |  |  |

| METHOD OF PAYMENT (check one)   |             | FEE CALCULATION (continued) |            |            |   |  |  |
|---|-------------|-----------------------------|------------|------------|---|--|--|
| 1.   The Commissioner is hereby authorized to charge any fees or credit |             | 3. Additional Fees          |            |            |   |  |  |
| any overpayment under 37 CFR 1.16 and 1.17 which may be required        |             | Entity                      | Sma        | ll Entity  |   |  |  |
| by this paper to Deposit Account No. 502305                             | Fee<br>Code | Fee                         |            |            |   |  |  |
| LAW OFFICES OF MARK C. PICKERING  |             |                             |            |            |   |  |  |
| ☐ Applicant claims small entity status. See 37 CFR 1.27.                | 1051        | 130                         | 2051       | 65         | Surcharge - late filing fee or oath                       |  |  |
| 2. \(\sum \) Payment Enclosed:  | 1052        | 50                          | 2052       | 25         | Surcharge - late provisional filing fee or                |  |  |
| ☐ Check ☐ Money Order ☐ Other   | .002        | 50                          | 2002       |            | cover sheet   |  |  |
| FEE CALCULATION   | 1053        | 130                         | 1053       | 130        | Non-English specification                                 |  |  |
|   | 1812        | 2520                        | 1812       | 2520       | For Gillian and the surrout                               |  |  |
| 1. BASIC FILING FEE   | 1012        | 2320                        | 1012       | 2320       | For filing a request for ex parte reexamination           |  |  |
| LARGE ENTITY SMALL ENTITY   | 1804        | 920                         | 1804       | 920        | Requesting publication of SIR prior to                    |  |  |
|   | 1005        | 1040                        | 1005       | 1040       | Examiner action   |  |  |
| Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid            | 1805        | 1840                        | 1805       | 1840       | Requesting publication of SIR after Examiner action       |  |  |
| 1001 750 2001 375 Utility   | 1251        | 110                         | 2251       | 55         | Extension for reply within first month                    |  |  |
| ₹002 330 2002 260 Design  | 1252        | 410                         | 2252       | 205        | Extension for reply within second month                   |  |  |
| 1003 520 2003 255 Plant   | 1253        | 930                         | 2253       | 465        | Extension for reply within third month                    |  |  |
| 1004 750 2004 375 Reissue   | 1254        | 1450                        | 2254       | 725        | Extension for reply within fourth month                   |  |  |
| 1005 160 2005 80 Provisional  | 1255        | 1970                        | 2255       | 985        | Extension for reply within fifth month                    |  |  |
| SUBTOTAL (1) 0  | 1401        | 320                         | 2401       | 160        | Notice of Appeal  |  |  |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE                             | 1402        | 320                         | 2402       | 160        | Filing a brief in support of an appeal                    |  |  |
| Extra Fee from  | 1403        | 280                         | 2403       | 140        | Request for oral hearing                                  |  |  |
| Claims below Fee Paid   |             |                             | - 100      | •          | 1104-223 101 0121 11021 1118                              |  |  |
| Total Claims $24 - 29 ** = 0$ $x \cdot 18 = $0$                         | 1451        | 1510                        | 1451       | 1510       | Petition to institute a public use proceeding             |  |  |
| Independent $6 - 5 = 1 \times 84 = $84$                                 | 1452        | 110                         | 2452       | 55         | Petition to revive-unavoidable                            |  |  |
| Multiple Dep. * = \$ 0  | 1453        | 1300                        | 2453       | 650        | Petition to revive-unintentional                          |  |  |
| ** or number previously paid, if greater; for Reissues, see below:      | 1501        | 1300                        | 2501       | 650        | Utility issue fee (or reissue)                            |  |  |
|   | 1502        | 470                         | 2502       | 235        | Design issue fee  |  |  |
| Large Entity Small Entity   | 4.500       |                             |            |            |   |  |  |
| Fee Fee Fee Code Fee (\$) Fee Description Code (\$)                     | 1503        | 630                         | 2503       | 315        | Plant issue fee   |  |  |
| 1202 18 2202 9 Claim in excess of 20                                    | 1460        | 130                         | 1460       | 130        | Petitions to the Commissioner                             |  |  |
| 1201 84 2201 42 Independent claims in excess of 3                       | 1807        | 50                          | 1807       | 50         | Processing fee under 37 CFR 1.17(q)                       |  |  |
| 1203 280 2203 140 Multiple dependent claim, if not paid                 | 1806        | 180                         | 1806       | 180        | Submission of Information Disclosure Strnt                |  |  |
| 1204 84 2204 42 ** Reissue ind. claims over original                    | 8021        | 40                          | 8021       | 40         | Recording each patent assignment per                      |  |  |
| patent  |             |                             |            |            | property (times number of properties)                     |  |  |
| 1205 18 2205 9 ** Reissue claims in excess of 20 and                    | 1809        | 750                         | 2809       | 375        | Filing a submission after final rejection (37             |  |  |
| over original patent  |             |                             |            |            | CFR 1.129(a))   |  |  |
|   | 1810        | 750                         | 2810       | 375        | For each additional invention be examined                 |  |  |
| •   |             |                             |            |            | (37 CFR 1.129(b)  |  |  |
|   | 1801        | 750                         | 2801       | 375        | Request for Continued Examination (RCE)                   |  |  |
|   | 1802        | 900                         | 1802       | 900        | Request for expedited examination of a design application |  |  |
| SUBTOTAL (2)   \$84   | *Dadu       | ced by Bo                   | cic Filina | Fee Paid ' | SUBTOTAL (3) \$0  |  |  |
|   |             |                             | ore i ming | rectaid i  | SOLIGIAL (3)  |  |  |
|   | MITTED      | BY                          |            |            |   |  |  |
| Law Offices of Mark C. Pickering  | 1_          | d.                          | _ //       | ^          | APR.2   |  |  |
| P.O. Box 300 Date: $\frac{9 - 16 - 03}{2}$                              |             |                             |            |            |   |  |  |
| Petaluma; CA-94953-0300 Telephone: (707) 762-5583                       |             |                             |            |            |   |  |  |
| Telephone: (707) 762-5583   | 1_          | (   1                       | 1.1        |            | 12/2 S P C  |  |  |
| Facsimile: (707) 762-5504   | Ву:         |                             | WL         | ببحيب      |   |  |  |
| Customer No. 33402 Mark C. Pickering, Reg. No. 36,239                   |             |                             |            |            |   |  |  |
|   |             |                             |            |            | ED<br>2003  |  |  |
|   |             |                             |            |            | ED PORTER   |  |  |

2800

FORM PTO-1472 (Rev. 4-2002)

# U.S. DEPARTMENT OF COMMERCE

PATENT AND TRADEMARK OFFICE

**AU**: 2811

# **EXAMINER'S CASE ACTION WORKSHEET**

| Application No.<br>10/010,696                     |                |   | Legal Instrument Examiner |   |  |  |
|---|----------------|---|---------------------------|---|--|--|
| CHECK TYPE OF AC                                  | TION           |   |                           | DATE OF COUNT   |  |  |
| Non-Final Reje                                    | ection         | Restriction/Election<br>Only  |                           | Final Rejection   |  |  |
| Ex Parte Quay                                     | le 🔲           | Allowance   |                           | Advisory Action   |  |  |
| Examiner's An                                     | swer           | Reply Brief Noted   |                           | Non-Entry of<br>Reply Brief                                   |  |  |
| Defective Notice                                  | ce of          | Interference Disposal<br>SPE(Approval for Disposal)                 |                           | Suspension<br>(Examiner-Initiated)<br>SPE (initial)           |  |  |
| Defective Appe                                    | eal Brief      | SIR Disposal<br>(use only after FAOM)                               |                           | Supplemental<br>Examiner's Amendment                          |  |  |
| Miscellaneous Office Letter (With Shortened Sta   | atutory Period | Notice of Non-Responsive Amendment (With One Month Time Period set) |                           | Miscellaneous<br>Office Letter<br>(No Response Period Set)    |  |  |
| Abandonment BPAI Decision                         | 1 —            | Supplemental Action (excluding Examiner's Answer)                   |                           | Response to<br>Rule 312 Amendment                             |  |  |
| Letter Restartii<br>for Response (<br>References) | ·              | Interview Summary   |                           | Authorization to Change Previous Office Action SPE: (Initial) |  |  |
| Abandonment                                       |                | Express Abandonment Date:   |                           | Other<br>Specify:   |  |  |

Examiner's Name: Quang D Vu